

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Takehiko MAKITA et al.

Appl. No: NOT YET ASSIGNED

Confirmation No: NOT YET ASSIGNED

Filed: November 21, 2003

For: SURFACE TREATMENT METHOD  
FOR A COMPOUND  
SEMICONDUCTOR LAYER AND  
METHOD OF FABRICATION OF A  
SEMICONDUCTOR DEVICE

Art Unit: NOT YET ASSIGNED

Examiner: NOT YET ASSIGNED

Atty. Docket No: 31869-198826

Customer No:

**26694**

PATENT TRADEMARK OFFICE

**Information Disclosure Statement**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This is an Information Disclosure Statement submitted under 37 C.F.R. § 1.97 within the time specified under 37 C.F.R. § 1.97(b).

In order to comply with the duty of disclosure under 37 C.F.R. § 1.56, the U.S. Patent and Trademark Office is notified of the documents which are listed on the attached Form PTO-1449 and which the Examiner may deem relevant to patentability of the claims of the above-identified application. One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits, and therefore no Statement Under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In view of the above, no further translation or statement of relevance is required, and

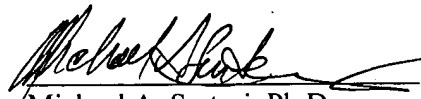
Disclosure Statements have been complied with, and it is therefore respectfully requested that the Examiner consider the documents and make them of record.

Please charge any necessary fee or credit any overpayment in connection with this Information Disclosure Statement to Deposit Account No. 22-0261.

Respectfully submitted,

Date:

November 21, 2003



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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

1

### Complete if Known

Application Number	NOT YET ASSIGNED
Filing Date	November 21, 2003
First Named Inventor	Takehiko MAKITA et al.
Group Art Unit	NOT YET ASSIGNED
Examiner Name	NOT YET ASSIGNED
Attorney Docket Number	31869-198826

### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	A1	TAMOTSU HASHIZUME, et al., "Discrete surface state related to nitrogen-vacancy defect on plasma-treated GaN surfaces", Applied Physics Letter, Vol. 80, No. 24 (June 17, 2002), pp. 4564-4566.	

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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